Top FCNC

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Flavor changing neutral currents

• In the SM, FCNCs are absent at the tree level



Loop suppressed CKM suppressed GIM supressed

- FCNCs are good probe of new physics.
- FCNCs for bound states

$$K^0 - \overline{K}^0, B^0 - \overline{B}^0, B_s - \overline{B}_s, D^0 - \overline{D}^0$$
 mixing.

Which processes are proper for the test of the top FCNC?



Top FCNC

Snowmass, arXiv:1311.2028

Process	\mathbf{SM}	$2 \mathrm{HDM}(\mathrm{FV})$	2 HDM(FC)	MSSM	RPV	RS
$t \rightarrow Z u$	$7 imes 10^{-17}$	_	_	$\leq 10^{-7}$	$\leq 10^{-6}$	_
$t \to Zc$	1×10^{-14}	$\leq 10^{-6}$	$\leq 10^{-10}$	$\leq 10^{-7}$	$\leq 10^{-6}$	$\leq 10^{-5}$
$t \to g u$	4×10^{-14}	_	_	$\leq 10^{-7}$	$\leq 10^{-6}$	_
$t \to gc$	5×10^{-12}	$\leq 10^{-4}$	$\leq 10^{-8}$	$\leq 10^{-7}$	$\leq 10^{-6}$	$\leq 10^{-10}$
$t\to \gamma u$	4×10^{-16}	_	_	$\leq 10^{-8}$	$\leq 10^{-9}$	_
$t\to \gamma c$	$5 imes 10^{-14}$	$\leq 10^{-7}$	$\leq 10^{-9}$	$\leq 10^{-8}$	$\leq 10^{-9}$	$\leq 10^{-9}$
$t \to h u$	$2 imes 10^{-17}$	$6 imes 10^{-6}$	_	$\leq 10^{-5}$	$\leq 10^{-9}$	_
$t \to hc$	$3 imes 10^{-15}$	2×10^{-3}	$\leq 10^{-5}$	$\leq 10^{-5}$	$\leq 10^{-9}$	$\leq 10^{-4}$

• FCNC in the SM cannot be observed at the LHC

- Top quark at LHC run II & III: 517 $pb \times 3000~fb^{\text{-1}} \sim 2 \times 10^9$

- Any measurement on top FCNC implies the existence of new physics
- many models introduces tree-level top FCNCs
- Experimental anomalies might require large top FCNCs

Top FCNC search results

EXP.	√s	Lumi .	$\mathcal{B}(t \rightarrow u\gamma) \%$	$\mathcal{B}(t \to c \gamma) \ \%$	Ref.
CDF	1.8 TeV	110 pb ⁻¹		3.2	PRL 80 (1998) 2525
CMS	8 TeV	19.1 fb ⁻¹	0.0161	0.182	CMS PAS TOP-14-003
			$\mathcal{B}(t \rightarrow uZ) \%$	$\mathcal{B}(t \rightarrow cZ) \%$	
CDF	1.96 TeV	1.9 fb ⁻¹		3.7	PRL 101 (2008) 192002
D0	1.96 TeV	4.1 fb^{-1}		3.2	PRL 701 (2011) 313
CMS	7 TeV	4.9 fb ⁻¹	0.51	11.40	CMS PAS TOP-12-021
ATLAS	7 TeV	2.1 fb ⁻¹	2.73		JHEP 90 (2012) 139
CMS	7&8 TeV	(5 + 19.7)fb ⁻¹	0.05		PRL 112 (2014) 171802
			$\mathcal{B}(t \rightarrow ug) \%$	$\mathcal{B}(t \rightarrow cg) \%$	
CDF	1.96 TeV	2.2 fb ⁻¹	0.039	0.57	PRL 102 (2009) 151801
D0	1.96 TeV	2.3 fb ⁻¹	0.02	0.39	PLB 693 (2010) 81
CMS	7 TeV	4.9 fb ⁻¹	0.56	7.12	CMS PAS TOP-12-021
CMS	7 TeV	4.9 fb ⁻¹	0.035	0.34	CMS PAS TOP-14-007
ATLAS	8 TeV	14.2 fb ⁻¹	0.0031	0.016	ATLAS CONF -2013-063
			$\mathcal{B}(t \rightarrow uH) \%$	$\mathcal{B}(t \rightarrow cH) \%$	
ATLAS	7&8 TeV	(4.7 + 20.3)fb ⁻¹	0.79		JHEP 06 (2014) 008
			0.56		

FCNH coupling



the contours of statistical significance $S/\sqrt{B} = 3\sigma$ of $pp \rightarrow thj$

Top FCNC



• FCNCs change the total cross section for top pair production

	$\rightarrow t$	$\Delta \sigma / \sigma$	1.96 TeV	8 TeV	13 TeV
Z', h,	H,a	Y _{tu} =0.1	-0.5%	-0.3%	-0.1%
ū ←	$- \overline{t}$	Y _{tu} =1	-27%	-3.8%	-2.5%

FCNCs can be mediated not only by one particle but also several particles

Top decay



 $t \rightarrow hq$

 $h \rightarrow WW, ZZ, \tau\tau, \gamma\gamma$

$$\sqrt{Y_{tu}^2 + Y_{tc}^2} < 0.14$$

 $t \to Xu(X = a, H, Z')$

assume Y_{tu} or g'=0.1

but, depends on the decay channel of X

Same sign top production

constructive interference



destructive interference



Same sign top production

$$Y_{tu}^{h_1} = Y_{tu}^{h_2} = Y_{tu}^{a_1} = g_H = 0.1$$



Same sign top production



thj production

 $pp \rightarrow thj$

 the same cross section for the thj production, but different for the same sign pair production

How can we make top special?

Top 2HDM

Models by Das, C.Kao (1996); Soni et al (2000),…

$$\mathcal{L}_{Y} = -\sum_{m,n=1}^{3} \bar{L}_{L}^{m} \phi_{1} E_{mn} l_{R}^{n} - \sum_{m,n=1}^{3} \bar{Q}_{L}^{m} \phi_{1} F_{mn} d_{R}^{n}$$
$$-\sum_{\alpha=1}^{2} \sum_{m=1}^{3} \bar{Q}_{L}^{m} \tilde{\phi}_{1} G_{m\alpha} u_{R}^{\alpha} - \sum_{m=1}^{3} \bar{Q}_{L}^{m} \tilde{\phi}_{2} G_{m3} u_{R}^{3}$$
$$+ \text{H.c.}$$

• Z₂ symmetry

$$\phi_1, l_R, d_R, u_R^{\alpha} :- \qquad \alpha = 1, 2$$

$$\phi_2, L_L, Q_L, u_R^3 :+$$

- The top quark is naturally heavy due to a large VEV of ϕ_2
- Flavor changing neutral Higgs couplings
- U(1) extension \implies Flavor-dependent chiral U(1)^{\prime} model (Ko,Omura,Yu)

Flavor-dependent U(1)' model

• Charge assignment : SM fermions

Ko,Omura,Yu, JHEP1201,147

H cannot generate mass terms for right-handed up-type quarks

Flavor-dependent U(1)' model

Charge assignment : Higgs fields

Ko,Omura,Yu, JHEP1201,147

	$SU(3)_c$	$SU(2)_L$	$U(1)_Y$	U(1)'
H_1	1	2	1/2	$-q_L - u_1$
H_2	1	2	1/2	$-q_L - u_2$
H_3	1	2	1/2	$-q_L - u_3$
Φ	1	1	1	$-q_{\Phi}$

 introduce three Higgs doublets charged under U(1)' in addition to H uncharged under U(1)'.

$$V_{y} = y_{i1}^{u} H_{1} \overline{U_{1}} Q_{i} + y_{i2}^{u} H_{2} \overline{U_{2}} Q_{i} + y_{i3}^{u} H_{3} \overline{U_{3}} Q_{i}$$
$$+ y_{ij}^{d} \overline{D_{j}} Q_{i} i \tau_{2} H^{\dagger}$$
$$+ y_{ij}^{e} \overline{E_{j}} L_{i} i \tau_{2} H^{\dagger} + y_{ij}^{n} H \overline{N_{j}} L_{i}.$$

• The U(1)' is spontaneously broken by U(1)' charged complex scalar Φ .

Anomaly Cancelation

• Anomaly cancelation requires extra fermions: SU(2) doublets

	$SU(3)_c$	$SU(2)_L$	$U(1)_Y$	U(1)'		
Q'	3	2	1/6	$-(q_1+q_2+q_3)$		
D'_R	3	1	-1/3	$-(d_1+d_2+d_3)$		
U_R'	3	1	2/3	$-(u_1+u_2+u_3)$	_	one extra generation
L'	1	2	-1/2	0		U
E'	1	1	-1	0		
l_{L1}	1	2	-1/2	Q_L		
l_{R1}	1	2	-1/2	Q_R		vector-like
l_{L2}	1	2	-1/2	$-Q_L$		pairs
l_{R2}	1	2	-1/2	$-Q_R$		

a candidate for CDM

Flavor-dependent U(1)' model

• 2 Higgs doublet model : $(u_1, u_2, u_3) = (0, 0, 1)$

	$SU(3)_c$	$SU(2)_L$	$U(1)_Y$	U(1)'
H	1	2	1/2	0
H_3	1	2	1/2	1
Φ	1	1	1	q_{Φ}

$$V_{y} = y_{i1}^{u} \overline{Q_{i}} \widetilde{H} U_{R1} + y_{i2}^{u} \overline{Q_{i}} \widetilde{H} U_{R2} + y_{i3}^{u} \overline{Q_{i}} \widetilde{H_{3}} U_{R3}$$
$$+ y_{ij}^{d} \overline{Q_{i}} H D_{Rj} + y_{ij}^{e} \overline{L_{i}} H E_{Rj} + h.c..$$

$$V_h = Y_{ij}^u \overline{\hat{U}_{Li}} \hat{U}_{Rj} \hat{h}_0 + Y_{ij}^d \overline{\hat{D}_{Li}} \hat{D}_{Rj} \hat{h}_0,$$

$$Y_{ij}^{u} = \frac{m_{i}^{u} \cos \alpha}{v \cos \beta} \delta_{ij} + \frac{2m_{i}^{u}}{v \sin 2\beta} (g_{R}^{u})_{ij} \sin(\alpha - \beta),$$

$$Y_{ij}^{d} = \frac{m_{i}^{d} \cos \alpha}{v \cos \beta} \delta_{ij},$$
 \propto the fermion mass

Flavor-dependent U(1)' model

Gauge coupling in the flavor eigenstates

$$\mathcal{L}_{Z'f\bar{f}} = g'Z'_{\mu} \left[q_i \overline{U_L^i} \gamma^{\mu} U_L^i + q_i \overline{D_L^i} \gamma^{\mu} D_L^i + u_i \overline{U_R^i} \gamma^{\mu} U_R^i + d_i \overline{D_R^i} \gamma^{\mu} D_R^i \right]$$

- The 3 X 3 coupling matrix g_R^u is defined by

 $(g_R^u)_{ij} = (U_R^u)_{ik} u (U_R^u)_{kj}^{\dagger}$ biunitary matrix diagonalizing the up-type quark mass matrix

- Gauge coupling in the mass eigenstates
- Z' interacts only with the right-handed up-type quarks

$$g' Z'_{\mu} \underbrace{(g_L^u)_{ij} \hat{\mathcal{V}}_L^i \gamma^{\mu} \hat{\mathcal{U}}_L^j}_{\sim 0 \text{ or } \delta_{ij}} \underbrace{\hat{\mathcal{V}}_L^d \gamma^{\mu} \hat{\mathcal{D}}_L^j}_{\scriptstyle L} \gamma^{\mu} \hat{\mathcal{D}}_L^j \underbrace{(g_R^u)_{ij} \hat{\mathcal{V}}_R^i \gamma^{\mu} \hat{\mathcal{U}}_R^j}_{\scriptstyle R} \gamma^{\mu} \hat{\mathcal{U}}_R^j + \underbrace{(g_R^d)_{ij} \hat{\mathcal{D}}_R^i \gamma^{\mu} \hat{\mathcal{D}}_R^j}_{\scriptstyle R} \gamma^{\mu} \hat{\mathcal{D}}_R^j \Big]}_{\scriptstyle Couplings}$$

B physics

• Charged Higgs contributes to B physics.

 $B \rightarrow D^{(*)} \tau \nu$

$$B \to D^{(*)} \tau \nu$$
$$R(D^{(*)}) \equiv \frac{\mathcal{B}(\bar{B} \to D^{(*)} \tau^- \bar{\nu}_{\tau})}{\mathcal{B}(\bar{B} \to D^{(*)} \ell^- \bar{\nu}_{\ell})}$$

R(D) and $R(D^*)$ in 2HDM (type-II)

• Allowed regions:

 $\tan \beta / m_{H} = 0.44 \pm 0.02$ for R(D)

 $\tan \beta / m_{H} = 0.75 \pm 0.04$ for $R(D^{*})$

• Combination of R(D) and $R(D^*)$ excludes full parameter space with 99.8% probability.

R(D^(*)) at Belle

- consistent with the SM and BABAR
- both results are larger than SM predictions

R(D^(*)) at Belle

 Analysis repeated for 2HDM of type II with tanβ/m_{H+} = 0.5 c²/GeV:

 $R = 0.329 \pm 0.060 \pm 0.022$ $R^* = 0.301 \pm 0.039 \pm 0.015$

$$\begin{split} R_{2HDM} &= 0.590 \pm 0.125 \\ R_{2HDM}^* &= 0.241 \pm 0.007 \end{split}$$

Kuhr, FPCP2015

• consistent with type-II 2HDM at $\tan \beta / m_{H^+}^2 \approx 0.5$

R(D*) at LHCb

Ciezarek, FPCP2015

- Agreement with the SM at 2.1 σ level
- In good agreement with the Belle and BABAR results

average

			0.5 _F -	· · · · · · · · · · · · · · · · · · ·
	R(D)	$R(D^*)$	0.45	Belle LHCb SM
BaBar	$0.440 \pm 0.058 \pm 0.042$	$0.332 \pm 0.024 \pm 0.018$	0.4	Average
Belle	$0.375^{+0.064}_{-0.063} \pm 0.026$	$0.293^{+0.039}_{-0.037} \pm 0.015$	0.7	
LHCb	0.000	$0.336 \pm 0.027 \pm 0.030$	0.35	
Average	0.388 ± 0.047	0.321 ± 0.021	0.3	
SM expectation	0.300 ± 0.010	0.252 ± 0.005		
Belle II, 50/ab	± 0.010	± 0.005	0.25	
$\begin{bmatrix} R(D)_{\rm B} \\ R(D^*) \end{bmatrix}$	BABAR 2.0σ BABAR 2.7σ	$R(D)_{\text{BELLE}} 1.1c$ $R(D^*)_{\text{BELLE}} 1.0$	σ	$\frac{R(D)_{\text{tot}}}{R(D^*)_{\text{tot}}} \frac{1.8\sigma}{3.2\sigma}$
		$R(D^*)_{\text{LHCb}}$ 2.1	σ	$R(D^{(*)})_{\text{tot}} 3.7\sigma$

 $BR(B \rightarrow \tau \nu)$

 $B \rightarrow \tau \nu$

(b,c) coupling

(b,u) coupling

SM expectation $B = (1.10 \pm 0.30) \times 10^{-4}$

Belle combined: $B = (0.96 \pm 0.26) \times 10^{-4}$ BaBar combined: $B = (1.79 \pm 0.48) \times 10^{-4}$

Y.Horii, Tau 2012 27

Effective Hamiltonian

• Effective Hamiltonian

$$H_{\rm eff} = C_{\rm SM}^{qb} (\overline{q_L} \gamma_\mu b_L) (\overline{\tau_L} \gamma^\mu \nu_L) + C_R^{qb} \overline{q_L} b_R) (\overline{\tau_R} \nu_L) + C_L^{qb} \overline{q_R} b_L) (\overline{\tau_R} \nu_L)$$

Charged Higgs

$$R(D) = R_{\rm SM} \left(1 + 1.5 \, \operatorname{Re} \left(\frac{C_R^{cb} + C_L^{cb}}{C_{SM}^{cb}} \right) + \left| \frac{C_R^{cb} + C_L^{cb}}{C_{SM}^{cb}} \right|^2 \right)$$

$$R(D^*) = R^*_{\rm SM} \left(1 + 0.12 \,\,{\rm Re} \left(\frac{C_R^{cb} - C_L^{cb}}{C_{SM}^{cb}} \right) + 0.05 \left| \frac{C_R^{cb} - C_L^{cb}}{C_{SM}^{cb}} \right|^2 \right)$$

$$BR(B \to \tau\nu) = \frac{G_F^2 |V_{ub}|^2}{8\pi} m_\tau^2 f_B^2 m_B \tau_B \left(1 - \frac{m_\tau^2}{m_B^2}\right)^2 \left|1 + \frac{m_B^2}{m_b m_\tau} \left(\frac{C_R^{ub} - C_L^{ub}}{C_{SM}^{ub}}\right)\right|^2$$

Wilson coefficients

diagonalization matrix g_R

 $(g_R^u)_{ij} = \delta_{ij}$: the same as the type-II 2HDM. $(g_R^u)_{ij} \neq \delta_{ij}$: generate non-MFV interactions. 2HDM

The BABAR discrepancies require large charged Higgs contribution

 $0.2 \leq |Y_{tc}^{au}|, \ m_{h^+}/\tan\beta \leq O(10).$

• B $\rightarrow \tau \nu$ requires small (t,u) coupling, $|Y_{tu}^{au}| \lesssim 0.03$.

Same sign top production in 2HDM

$$t - q - h \qquad Y_{ij}^{u(1)} = \frac{m_i^u \cos \alpha}{v \cos \beta} \cos \alpha_\Phi \delta_{ij} + \frac{2m_i^u}{v \sin 2\beta} (g_R^u)_{ij} \sin(\alpha - \beta) \cos \alpha_\Phi$$

$$t - q - a$$
 $Y_{ij}^{au} = \frac{m_i^u \tan \beta}{v} \delta_{ij} - \frac{2m_i^u}{v \sin 2\beta} (g_R^u)_{ij}$

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Summary

- FCNCs are good probe of new physics.
- There are several top FCNC observables, and they are complementary to each other.

• A few particles which have FCNC couplings can exist in the model and their effects may be interfered constructively or destructively.

• $B \rightarrow D(*)\tau\nu$ anomaly may be resolved by flavor-dependent U(1)' model, but it predicts large FCNCs. In particular, a lot of parameter spaces may be tested by the same sign top pair production at LHC run 2.

R(D^(*)) at Belle (non-official)

SM expectations: (S.Fajfer, J.Kamenik, I.Nisandzic, PRD **85**, 094025 (2012))

 $R(D) = 0.297 \pm 0.017, R(D^*) = 0.252 \pm 0.003$

BABAR SM deviations

- $R(\overline{D}^*)$ 2.7 σ
- $R(\overline{D}) 2.0\sigma$
- $R(\overline{D}^{(*)})$ 3.4 σ

Belle average SM deviations

- $R(\overline{D}^*)$ 3.0 σ
- $R(\overline{D})$ 1.4 σ
- $R(\overline{D}^{(*)})$ 3.3 σ

From A. Bozek's slide at FPCP2013

Mono-top

Top FCNC search results

EXP.	√s	Lumi .	$\mathcal{B}(t \rightarrow u\gamma) \%$	$\mathcal{B}(t \rightarrow c\gamma) \%$	Ref.	
CDF	1.8 TeV	110 pb ⁻¹	3.2		PRL 80 (1998) 2525	
CMS	8 TeV	19.1 fb ⁻¹	0.0161	0.182	CMS PAS TOP-14-003	
			$\mathcal{B}(t \rightarrow uZ) \%$	$\mathcal{B}(t \rightarrow cZ) \%$		
CDF	1.96 TeV	$1.9 {\rm fb}^{-1}$		3.7	PRL 101 (2008) 192002	
D0	1.96 TeV	$4.1 {\rm fb^{-1}}$	1	3.2	PRL 701 (2011) 313	
CMS	7 TeV	4.9 fb^{-1}	0.51	11.40	CMS PAS TOP-12-021	
ATLAS	7 TeV	2.1 fb ⁻¹	2.73		JHEP 90 (2012) 139	
CMS	7&8 TeV	(5 + 19.7)fb ⁻¹	0.05		PRL 112 (2014) 171802	
			$\mathcal{B}(t \rightarrow ug) \%$	$\mathcal{B}(t \rightarrow cg) \%$		
CDF	1.96 TeV	2.2 fb ⁻¹	0.039	0.57	PRL 102 (2009) 151801	
D0	1.96 TeV	2.3 fb ⁻¹	0.02	0.39	PLB 693 (2010) 81	
CMS	7 TeV	4.9 fb^{-1}	0.56	7.12	CMS PAS TOP-12-021	
CMS	7 TeV R	$r(t \rightarrow 7a) <$	0.05%		PAS TOP-14-007	
ATLAS	8 TeV	((i + Lq))	0.05/0		S CONF -2013-063	
$Br(t \rightarrow hq) < 0.56\%(0.79\%)$ at 95% C.L.					C.L.	
ATLAS	7&8 TeV	(4.7 + 20.3)fb ⁻¹	0.79		JHEP 06 (2014) 008	
CMS	8 TeV	19.5 fb ⁻¹	0	.56	CMS PAS HIG-13-034	

Goldouzian, TOP2014³⁵

Single top production

 $pp \rightarrow t + X$

Chiral U(1)' model

• 3 Higgs doublet model: $(u_1, u_2, u_3) = (-q, 0, q)$

	SU(3)	SU(2)	$U(1)_Y$	U(1)'
H_1	1	2	1/2	q
H_2	1	2	1/2	0
H_3	1	2	1/2	-q
Φ	1	1	0	-1

$$\mathcal{L}_{Y} = y_{i1}^{u} H_1 \overline{U_1} Q_i + y_{i2}^{u} H_2 \overline{U_2} Q_i + y_{i3}^{u} H_3 \overline{U_3} Q_i + y_{ij}^{d} H_2^{\dagger} \overline{D_j} Q_i + y_{ij}^{e} H_2^{\dagger} \overline{E_j} L_i + y_{ij}^{n} H_2 \overline{N_j} L_i.$$

2HDM

- Large C_L with C_R =0 could explain data.
- Large C_R is not capable of achieving $R(D^{(*)})$ without sizable C_L .
- Type-II 2HDM (or 2HDM III with MFV) generate only C_R . \rightarrow could not explain R(D^(*)) at BABAR.

3HDM

$$(u_k) = (1, 0, -1)$$

- 2 pairs of charged Higgs + 2 CP-odd pseudoscalars.
- \bullet parameter spaces are large \rightarrow not difficult to find the allowed region without fine-tuning.
- ex) degenerate case $m_{h_1^+} = m_{h_2^+}$

Wilson coefficients

Type-II 2HDM

• only C_R has sizable contribution.

Single top production

 $pp \rightarrow t + X$

